

# GENERAL RULES OF THOROUGHBRED RACING

## RACING SEASON 2016

### 1 - Nominations / Racing Performance / Purses and Ownership Premiums

#### 1.1. Nominations

All nominations are to be made in writing. Every nominator is liable for the information he or she provides being correct. On submitting the nomination, the nominator accepts the general rules, the racing propositions, and the racing regulations issued by the Austrian directorate. If not announced differently nominations can only be made for horses registered to an official stud book related to the ISBC (Thoroughbred).

#### 1.2. Racing Performance

All racing performance must be confirmed by the chief turf commissions of the respective countries on the official international forms.

Evidence of racing performance of horses trained abroad must be submitted to the AROC secretary at the already at the time of nomination. Evidence of performance in the most recent three races must be submitted in detail (i.e. racing results). If evidence of the racing performance is not provided by the time of announcement of starters, a fee of €15 per start will be charged.

Horses eligible for handicap races are those horses which have either won a race in Austria or a comparable country or which have participated in at least two races from start to finish. Foreign horses have to declare their home handicap mark on the entry form. Comparable countries are: GERMANY, CZ, SLOVAK REPUBLIK and HUNGARY! Horses looking for an Austrian Handicap have to declare latest when the weights are published.

#### 1.3. Purses and Ownership Premiums

In all classic races, the owner of the best placed horse which is on the Austrian training list, receive an ownership premium of 10% on the prize money won. Anybody whose horse is named on the Austrian training list shall be considered an Austrian owner.

### 2 – Declaration of Runners / Racing Clearance / Paddock

#### 2.1. Declaration of Runners

The trainers are obliged to notify the Racing Secretariat in writing of the intended start of a horse and of the corresponding jockey on or before the date indicated in the respective proposition (announcement of starters).

When entering a horse, every trainer shall name a jockey with a riding obligation. Where a horse is scratched owing to a circumstance not caused by the jockey, the jockey shall receive his payment from the owner's account unless the horse has been scratched for veterinary reasons.

In order to be eligible for starting, every Austrian horse must be trained by and listed on the official training list of a trainer licensed in Austria (under trainer's care) for a consecutive time of at least 4 weeks prior to the start. Horses not trained in accordance with this regulation will be denied for starting, nomination fees will not be refunded.

Additional weights or allowances of jockeys, if any, are to be named as well. At the same time it needs to be indicated whether the starting horse will wear blinkers or other aides in the race.

The horses entered for start must participate in the race unless they need to be scratched on the grounds of a veterinary certificate or in conformity with the reasons stated under section 184 article 3 RR. For first-time starters, the Stewards need to be presented with evidence of a stalls test by the time scaling begins at the latest. Any exception to these regulations may only be granted by the Stewards and at any rate will carry a charge.

#### 2.2. Eligibility for Start, Veterinary Examinations, Health Certificate

For a horse to be cleared for racing, the following must be provided:

- Horse identification document (passport) with

**Additional documentation for foreign participants** in the form of

- health certificate (8 days old max.) and Coggins test (**not older than 6 month**) for
- RCN (Racing Clearance Notification)

The horses nominated for starting are to be present at the stable premises at the latest by the time scaling commences (i.e. 1 hour before start of the racing day) so that veterinary checks, if any, and/or doping tests can be made. Every horse needs to be visible in the paddock 15 minutes before its start at the latest, unless an exception has been granted. If documentation is missing, the Stewards are to decide about eligibility for start and are to specify appropriate sanctions.

#### 2.3. Shoeing

Every starting horse is to be correctly shod on all 4 hooves, the shoes need to conform to the international standard (IFHA shoeing guidelines). Wearing caulks is forbidden.

## **2.4. Allowances, Changes of Rider, Other Changes**

The Stewards need to be notified of, and approve, any changes to details published in the racing programme (i.e. change of rider, allowances, or other changes).

## **3 – Changes in the Proposition**

### **3.1. Reducing Prize Money/Place Money**

If fewer than eight horses start in a race, the race operator is entitled to reduce the prize money and/or to eliminate the place money awarded to the last horse finishing in the money places.

Reducing the prize money is only prohibited for the following races:

- Österreichisches Galopper-Derby, Trial-Stakes, Henckel-Memorial

### **3.2. Cancellation of Races, Changes of Surface**

In accordance with section 5 RR, the Stewards are entitled to change races from turf or dirt to the respective other surface owing to weather conditions or other important reasons. The Stewards and/or the race operator are entitled to cancel entire races and/or racing days. In the case of cancellation, all fees provided by the starters will be refunded in their entirety.

### **3.3. Nominations after Closing Date, Changes of Dates, Other Changes**

Generally, nominations can be made after the closing date at any scratching date and on the day of the announcement of starters. From a purse of €15,000 upwards, the fee charged for any nomination after closing date will be three times the nomination fee up to this date, where the purse is lower than €15,000, the fee charged for nomination after the closing date will be twice the nomination fee.

The race operator is entitled to allow nominations after the closing date also following the last scratching date where individual races have attracted too few nominations. He is also allowed to renew the condition of a race and to re-open the entry for a new date, if too few horses have entered.

The race operator is also entitled to make changes to the races announced in the propositions as well as changes in the dates.

### **3.4. Cancellations of Races**

Unless not even horses of at least 2 licensed trainers remain at the time of the closing date, races will be cancelled. In the case of too few nominations, the race operator is entitled to cancel races without substitution.

If a race is cancelled without substitution, all fees provided by the remaining starters have to be returned.

## **4 – Horse Participation in Races**

Every owner, trainer and jockey acknowledges that he or she participates in the training and in the races run in the Magna Racino exclusively at his or her own risk and that he or she holds no claim to damages whatsoever.

## **5 – Calculation of Prize Money Denominated in a Foreign Currency**

For the purpose of computing the additional weights or weight allowances which apply to individual horses owing to their winnings earned abroad (racing prize for wins and in-the-money results), and for the purpose of calculating the prize money listed in the racing propositions, for the year of 2016 the euro equivalent of foreign currencies is set as follows. One Euro (€) equals:

Dänemark	(DKK)	7,4626
Großbritannien	(GBP)	0,7365
Kanada	(CAD)	1,5116
Kroatien	(HRK)	7,6335
Norwegen	(NOK)	9,5998
Polen	(PLN)	4,2639
Russland	(RUB)	80,6736
Schweden	(SEK)	9,1895
Schweiz	(CHF)	1,0835
Serbien	(RSD)	121,4355
Tschechische Republik	(CZK)	26,995
Ungarn	(HUF)	314,948
USA	(USD)	1,0887

## 6 - Championships

- a) Credits are awarded for all results obtained on Austrian race courses. Moreover, for all Austrian championships only those forms of races and winnings are included in the credits that are achieved abroad by a horse under the responsibility of a trainer licensed in Austria.
- b) Credits for the Jockey Championship are awarded for rides achieved in the course of the current racing year in Austria as well as for wins made abroad on horses trained in Austria (1.1.2016 – 31.12.2016).
- c) Point b) applies in the same manner to the amateur championship.

## 7 - Fees

In the season of **2016**, the AROC will levy the following fees:

### **7.1. General Fees in Accordance with Section 208 RR:**

- a) Starter fee for every start of a horse in the amount of € 3.--
- b) Handicap fees for every weight assigned and accepted in the amount of € 2.-- per horse will be forwarded to the Austrian Direktorium für Galopprennsport und Vollblutzucht.
- c) 4% of all racing prizes are kept by the AROC as contribution for the promotion of breeding.
- d) For horses which are not stationed in the Magna Racino guest stalls will be made available against payment of a fee. Every owner/trainer is liable for his or her horse being installed in the guest stall assigned to it.

### **7.2. Account Management Fees, Deposit**

- a) On opening a racing account, a deposit in the amount of €200.- is to be paid into this account and will be kept until the account is closed, except accounts of Trainers, Jockeys and all countries with counterinvoices.
- b) The AROC charges an annual account management fee in the amount of €45.-- on domestic accounts.

This fee includes:

- management and administration of the racing account
  - proposition of all races for the current racing year
  - all entries and account statements of the current year
  - sending and shipping charges
- c) On international accounts, the AROC charges an annual account management fee in the amount of € 55.--

This fee includes:

- management and administration of the racing account
- all entries and account statements of the current year

### **7.3. Due Dates**

The nomination fees, supplementary payments and the like are to be paid on the closing date, respectively on the dates set for submission of supplementary payments and on the scratching dates. If nomination fees have not been paid up to 14 days following the date of nomination, the AROC is entitled to scratch the horses concerned including a fee. However, the fees accumulated up to the date of scratching (i.e. nomination and starter fees) are to be paid to the race operator at any rate.

### **7.4. Penalties for foreign trainers and jockeys**

All penalties concerning foreign licenced trainers and jockeys of countries, the account of the owner will be charged if the penalty is not be paid on raceday in cash.

### **7.5. Legal Venue – Agreement**

It is agreed that Vienna will serve as the legal venue for the settlement of any disputes.

## 8 – Changes in Form

At the latest by the time scaling begins, the trainers, respectively those authorised by them, are to provide information about circumstances that indicate the likelihood or the potential of the horses of which they are in charge being in conspicuously different form. The Stewards will investigate any failure to do so.

Where a conspicuous change in a horse´s form is not explained satisfactorily, the respective jockey or trainer, respectively the person authorised by him, will be punished by the Stewards in accordance with Section 110 RR.

## 9 - Breeder

The breeder of a horse is the person who owns its dam at the time of foaling, who fulfills the requirements of proper breeding, and to whom all points stipulated in Section 165 RR apply.

## 10 – Breeding Premiums

### 10.1. Breeders / Eligibility for Breeding Premiums

Those persons shall be considered Austrian/domestic breeders who either operate a permanent stud farm for thoroughbreds in Austria or who have permanently installed at least one active broodmare listed as active broodmare in the Austrian stud book in such a stud farm. A breeder is also the person who resides in Austria and maintains a horse bred by him- or herself in breeding or training in Austria.

Any claim to breeding premiums for the racing season of 2016 needs to be made with the racing secretariat of the AROC in writing and needs to be provided before the respective horse starts for the first time.

Breeding premiums cannot be claimed retroactively.

The breeding premium amounts to 20% of the racing prize won.

## 11 - Propositions

The propositions and the general regulations are published on the AROC homepage ([www.aroc.at](http://www.aroc.at)) for free.

## 12 – Disbursement of Racing Prizes

### 12.1. Mode of Disbursement

Racing prizes are only credited to the owner's account after deduction of all possible liabilities that may exist vis-à-vis the operator of the racing track, the AROC, the Direktorium für Galopprennsport und Vollblutzucht (directorate for thoroughbred racing and bloodstock breeding), or the trainer/jockey. Following the written request of an authorised signatory, the balance on owner accounts is paid out at the earliest 4 weeks after the race. The account deposit in the amount of €200.-- is not paid out, but will only be refunded when the racing account is closed. Trainers and jockeys are entitled to apply for payment in writing also during the season.

### 12.2. Blocking of Accounts:

If a doping sample has been taken, the corresponding racing account of the owner and the trainer of the horse in question is blocked until a negative result of the doping sample is confirmed, the same applies in cases of unclear ownership.

## 13 – Training Lists

### 13.1. Training lists

Every trainer is obliged to maintain an updated training list of all horses trained by him or her. The Direktorium für Galopprennsport und Vollblutzucht and/or the AROC are to be notified of any change within 48 hours. Violations of this regulation will be punished and can lead to no nominations being accepted.

## 14 – Riding Allowances, Riding Restrictions

Prior to their 5th win in a weight-for-age race, apprentice jockeys are only allowed to ride in competitions for a purse of up to €4,000.-- in total, unless otherwise stipulated by either the Stewards or the proposition. Jockey aspirants and apprentice jockeys are entitled to invoke the weight allowance provided for in the racing regulations in all races up to a purse of a total of €4,000.-- as well as in handicap races irrespective of the amount of the purse (Section 191 RR). No weight allowance can be claimed in stake races.

## 15 – Amateur Riders

All amateur riders must hold a valid licence of their home authority, Austrian amateur riders need to be members of the Österreichischen Amateur Rennreiterverein (OARV).

Besides races explicitly declared amateur races, amateur riders may ride

- flat races with a total purse of €4,000.- max. up to their 25th racing victory,
- races with a total purse of up to €6,000.- from their 25<sup>th</sup> victory onwards, or if they ride their own horse.

The Stewards are entitled to grant exceptions from the rules set out above. The minimum weight in amateur races of kg 58 may be waived if all riders are able to ride the weights assigned to them without having to take on the prescribed minimum weight for this purpose.

## 16 – Doping Test Samples

### 16.1. Drawing of Doping Test Samples

Doping test samples can be drawn from all horses participating in the training or in races. Should he or she be unable to attend, every trainer has to make sure that a suitable person who has completed the 18<sup>th</sup> year of his or her life is available to accompany the horse to be tested.

All doping test samples must be analysed in an internationally accredited laboratory, with the exception of **bicarbonate** examinations.

Where a second sample is desired (B-sample), the following accredited laboratories for 2016 can be used: **HFL-Newmarket** and **LCH-Paris**.

For **bicarbonate examinations**, the doping test sample is drawn and analysed on the spot, with the following persons being present: trainer or a person authorised by him/her (stable staff, etc.), one member of, or one person authorised by, the Stewards, and the racing track veterinary or his/her representative.

All three persons involved in the respective analysis confirm with their signature that the sample has been drawn properly. The B-sample is drawn immediately on the spot.

#### **16.2. Prohibited Substances**

It is prohibited to use substances not produced naturally in the body or mechanical or electrical devices which may influence the performance of a horse in the race (see Section 2, 111 RR and Supplementary Provisions).

The prohibited substances also include those which may affect the acidic and alkaline balance and any type of genetic modification.

Any person who applies a prohibited substance, attempts to apply such a substance, participates in, or facilitates, such application and/or does not properly fulfill his or her obligatory supervision, is to be punished by the Stewards.

#### **16.3. Consequences of a Positive Doping Result**

A horse for which a positive doping result has been identified immediately loses its clearance for start. Moreover, this horse is declared to lose all prizes won in races held in Austria between the time the doping sample was drawn and the time the final result (second analysis, etc.) was provided. It is also barred from racing for at least 6 weeks from the date of sampling (automatic penalty).

The person responsible according to RR, for whose horse prohibited substances have been identified, is to bear all costs of the proceedings. As a minimum, he or she is to contribute to the doping fund of the association a lump sum covering expenses and damages in the amount of €2,000.- This payment is entirely independent of a penalty declared by the Stewards.

#### **16.4. Obligations of the Trainer**

The trainer shall always be responsible for the feeding, management, protection and security of all horses in his care. He is responsible for taking all reasonable precautions with the horses to avoid their exposure to prohibited substances contrary to the rules of the Horseracing Authority.

He shall always keeping himself informed of the possible consequences of treatment given to his horses.

Each treatment of horses in training by a veterinary surgeon has to be documented.

#### **16.5. Raceday regulations**

Nobody except official veterinarians is allowed to bring any medication or prohibited substances or any instrument capable at any time of causing an prohibited action or effect on a horses performance into the stable area.

### **17 – Taking Prohibited Substances**

The Stewards are entitled at any time to order a field sobriety test and/or a rapid drug test for all jockeys and grooms. Fitness for riding is considered impaired when the blood alcohol content exceeds .05 percent. If a person refuses the field sobriety test, alcoholisation is assumed and punished.

If the Stewards suspect a jockey/worker to be unfit for riding/for work owing to the influence of alcohol and/or intoxicants (e.g. drugs or medication), they are entitled to prohibit this jockey/worker from participating in further races, respectively tasks, and to arrange for a doping test.

If the person examined has been found to be unfit for riding/for work, he or she has to bear the costs of examination. The Stewards determine the degree of penalty. In addition to a monetary fine, the Stewards punish all violations with a temporary riding suspension the duration of which is to be set by the Stewards. The minimum duration of such a suspension is 1 month.

### **18 – Contribution to Transport Costs**

In special cases, contributions to transport costs are granted at the discretion of the board of the AROC.